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CERTIFICATE OF MAILING

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By J. S. Broaden ELI LILLY AND COMPANY

Date 10-3-01

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECH CENTER 1600/290

Applicants : John Michael Beals, et al. )  
Serial No. : 09/856,451 )  
Filed : May 22, 2001 )  
For : ERYTHROPOIETIC COMPOUNDS )  
Docket No. : X-12553 )

Group Art: 1600

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

As a means of complying with the duty of disclosure, Applicants submit an "Information Disclosure Citation In An Application" on a Form PTO-1449 (modified) and provide a copy of each of the listed documents for consideration by the Examiner.

Since this Statement is being filed in accordance with 37 C.F.R. 1.97(b), Applicants submit that no additional fee is required.

Applicants request consideration of this information.

Respectfully submitted,

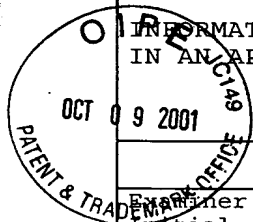


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Eli Lilly and Company  
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Sept. 28, 2001

FORM PTO 1449 (modified)		Atty. Docket No. X-12553		Serial No 09/856,451		<b>RECEIVED</b> <b>OCT 11 2001</b> <b>TECH CENTER 1600/2900</b>	
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>		Applicants John Michael Beals, et al.					
		Filing Date May 22, 2001		Group 1600			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date If Appropriate
	AA	5,252,714	10/12/93	J. Milton Harris, et al.	530	391.9	11/28/90
	AB	4,002,531	01/11/77	Garfield P. Royer	195	68	01/22/76
	AC						
	AD						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Sub-class	Translation
							yes no
	BA	WO95/06058	02.03.95	PCT			
	BB						
	BC						
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	CA	G.E. Francis, et al., <i>International Journal of Hematology</i> , PEGylation of cytokines and other therapeutic proteins and peptides: the importance of biological optimisation of coupling techniques, Vol. 68(1), pages 1-18, 1998.					
	CB	C. Delgado, et al., <i>Pharmaceutical Sciences</i> , Polymer-derivatized Proteins: Analytical and Preparative Problems, Vol. 3(1), pages 59-66, 1997.					
	CC	G.E. Francis, et al., <i>Journal of Drug Targeting</i> , Polyethylene Glycol Modification: Relevance of Improved Methodology to Tumour Targeting, Vol. 3(5), pages 321-340, 1996.					
	CD	Gillian E. Francis, <i>Innovations in Pharmaceutical Technology</i> , PEGylation technology, pages 41-45.					
	CE	Farooq Malik, <i>Open University, Volume 58/01-C of Dissertation Abstracts International</i> , Construction and characterization of polyethylene glycol-modified cytokines (monomethoxypolyethylene glycol), page 208, 1993.					
	CF	Cristina Delgado, et al., <i>Critical Reviews in Therapeutic Drug Carrier Systems</i> , The uses and Properties of PEG-Linked Proteins, Vol. 9(3,4), pages 249-304, 1992.					
	CG	Gillian E. Francis, et al., <i>Stability of Protein Pharmaceuticals, Part B: In vivo Pathways of Degradation and Strategies for Protein Stabilization</i> , eds, Ahern TJ and Manning MC, Plenum Press, New York, PEG-Modified Proteins, 1992.					
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							



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TECH CENTER 1600/29

FORM PTO 1449 (modified)			Atty. Docket No. X-12553	Serial No 09/856,451
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Applicant John Michael Beals, et al.	
			Filing Date May 22, 2001	Group 1600
CH		Gillian E. Francis, <i>Focus on Growth Factors</i> , Protein modification and fusion proteins, Vol. 3, pages 4-10, 1992.		
CI		Mendel Friedman, et al., <i>International Journal Peptide Protein Research</i> , REDUCTIVE ALKYLATION OF PROTEINS WITH AROMATIC ALDEHYDES AND SODIUM CYANOBOROHYDRIDE, Vol. 6(3), pages 183-185, 1974.		
CJ		Rashid S. Syed, et al., <i>Nature</i> , Efficiency of signalling through cytokine receptors depends critically on receptor orientation, Vol. 395(6701), pages 511-516, October 1, 1998.		
CK		Janet C. Cheetham, et al., <i>Nature Structural Biology</i> , NMR structure of human erythropoietin and a comparison with its receptor bound conformation, Vol. 5(10), pages 861-866, October 1998.		
CL				
CM				
CN				
CO				
CP				
CQ				
CR				
EXAMINER		DATE CONSIDERED		
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.				

